

2017 Invisalign® Gallery Summit Shootout

OFFICIAL PROGRAM RULES

The 2017 Invisalign® Gallery Summit Shootout (the “Program”) is a live case presentation event sponsored by Align Technology, Inc. (“Align”). The Program features Invisalign treatment outcomes submitted by general practitioners (an “Entrant”) that will culminate at the Invisalign Summit held in Washington, DC from September 7-10, 2017. The Entrants with the four best outcomes based on the 2017 Summit Shootout theme categories will be invited to present their cases on stage, and the best overall treatment (Shootout Winner) of the four will be determined during the Summit. The four cases to be presented will be selected by peers through a double-blinded online voting process prior to the Summit, and will come from a pool of the top submitted treatment outcomes as determined by an independent Clinical Review Committee comprised of GP doctors.



The four theme categories for the 2017 Summit Shootout competition are:

- **Crowding**
- **Spacing**
- **Interdisciplinary**
- **Teen**

Categorization of submissions is based solely on the treating doctor’s assessment of the patient’s condition. Submitted treatment outcomes that do not fit into at least one of the theme categories will not be eligible for Shootout presentation consideration, but will be included for consideration in the Invisalign Gallery publication if selected as one of the top treatments. Entrants who are unable to attend the Summit or who do not wish to present their case live on stage may also submit entries for consideration into the Invisalign Gallery publication.

The Program is open to GPs in North America. Entrants submitting treatments for consideration may submit **one treatment per theme category**, but may only present one case at the Summit event.

Treatments previously accepted as Finalists in past Invisalign Galleries, Case Shootouts, or previously published in scientific journals or on aligntechinstitute.com are ineligible for the Program.

Entries

By entering the Program, Entrants unconditionally agree to these Official Program Rules and agree to Align serving as the final decision-making authority for issues relating to the Program, with its decision being final and binding on all entrants. Align reserves the right to add, delete and/or alter clauses within these Official Program Rules at its discretion.

All submissions must be made online via <https://submit.invisaligngallery.com> prior to 5pm PST on **Friday, April 28th, 2017**. An entry consists of before photos and after photos, a complete online treatment summary report, and signed doctor and patient release forms. Entrant recognition information (Entrant photo, practice logo, office URL, etc.) is also required. Progress photos for restorative cases are highly recommended. Radiographs are optional and should not be taken for the purpose of records submission into the program, but are encouraged if they are available.

Treatments where full fixed appliances were used in one or both arches are ineligible. Sectional fixed appliances and ancillary devices (*e.g.* elastics, expanders, TADs, etc.) may be used; however, their use must be fully disclosed in the treatment summary report.

Entrants deemed to be among the top treatments (up to 100) will be invited to provide before and after study models of their patients' treatments for a supplemental 3-D digital gallery being compiled by Align. Invited Entrants who elect to participate in the 3-D digital gallery will receive \$300 as compensation for the time and materials associated with creation of the before and after study models. The patient will also receive \$50 in the form of an AMEX gift card. Providing study models is entirely optional, and is not required for participation in the Invisalign Gallery or Summit Shootout. PVS impressions, Cadent digital study models, and/or iTero intraoral scans will be accepted in lieu of plaster casts.

Selection Process

All entries will be screened by an independent Clinical Review Committee consisting of GP doctors chosen by Align. Each submission will be de-identified for patient and doctor anonymity and the treatment outcomes scored by three reviewers on the committee based only on the before and after photos. Up to 100 treatment outcomes with the highest average scores will advance to the online voting round.

For the online voting process, the before and after photos (de-identified), radiographs (if any), and a brief treatment summary of each top treatment will be posted on a password-protected online voting website created by Align. GPs experienced with Invisalign and selected by Align will be invited to vote on each of the treatment outcomes on a scale of 1 to 10 for treatment difficulty (40%), results achieved

(40%), and records quality (20%). Invited doctors can only vote on each treatment once. Entrants and registered Summit attendees are not eligible to vote.

To ensure a similar number of votes per treatment, an optimization algorithm will be used to present the treatments with the fewest votes received first. The composite score is based on the weighted individual components, and the top treatment in each category determined by the highest average component score. Any skipped cases are not counted towards the average score.

Shootout Presenters

The Entrant with the highest composite score in each of the four Summit theme categories will be notified on or after **Monday, July 10th, 2017** and invited to present their treatment outcomes at the Invisalign Summit event. In the event that a presenter is unable to attend or does not wish to present, the Entrant with the next highest score in the category will be invited to present. In the event that an Entrant has the top score in more than one category, the Entrant must choose only one treatment outcome to present, and the Entrant with the next highest score in the category released will be invited to present their case.

Entrants who present their treatment outcome at the Summit event will have their Summit conference fees reimbursed provided they sign a Speaking Agreement prior to the event.

The Invisalign Gallery Publication

All top treatment outcomes (up to 100) will be on display at the 2017 Invisalign Summit and will be included in the 2017 Invisalign Gallery publication. All Entrants who submit a top treatment outcome will receive certificates of participation along with a print copy of the 2017 Invisalign Gallery publication.

Release/Consent

By submitting an entry, Entrants grant Align a non-exclusive, royalty-free limited license to use, display, promote, and reproduce treatment outcomes without geographic or time limitation for the purpose of administering the Program and for promotional purposes in any and all media without further compensation, permission, or notification, unless prohibited by law.

Participation in the Program constitutes Entrant's consent to use of Entrant's name, likeness, photograph, statements, school affiliation, opinions, hometown, and/or state by Align and its affiliates for promotional purposes in any media without further compensation, permission, or notification, unless prohibited by law. Entrant represents that he/she has obtained lawful patient consent to submit information relating to patient to the Program, including patient's health information. Entrant further represents that each treatment outcome and presentation (including verbal and written material) relating to the Program have been made in compliance with applicable state regulations.

Materials submitted to the Program will not be returned. Align agrees not to sell any materials submitted to the Program to third parties.

Limitations of Liability/Disclaimer

Align reserves the right to cancel, suspend and/or modify the Program, or any part of it, at any time and without notice for any reason, if any fraud, technical failures or any other factor beyond Align's reasonable control impairs the integrity or proper functioning of the Program, as determined by Align in its sole discretion. Under such circumstances, Align may select the award recipients from among all eligible entries received. Align reserves the right in its sole discretion to disqualify any individual it finds to be tampering with the entry process or the operation of the Program or to be acting in violation of these Official Rules or in an unprofessional or disruptive manner.

Align is not responsible for: (1) any incorrect or inaccurate information, whether caused by Entrant, printing errors or by any of the equipment or programming associated with or utilized in the Program; (2) technical failures of any kind, including, but not limited to malfunctions, interruptions, or disconnections in phone lines, network connections, or network hardware or software; (3) unauthorized human intervention in any part of the entry process or the Program; (4) technical or human error which may occur in the administration of the Program or the processing of entries; or (5) late, lost, incomplete, delayed, or undeliverable entries.

By participating in the Program, Entrants agree to release and hold harmless Align and its affiliates from and against any and all rights, claims and causes of action whatsoever that they may have, or which may arise, against any of them for any liability for any matter, cause or thing whatsoever, including but not limited to any injury, loss, damage, including death, whether direct, compensatory, incidental or consequential, to person and property, arising in whole or in part, directly or indirectly, from their participation in the Program, including attendance at the 2015 Invisalign Summit, or their acceptance, possession, use or misuse of any award in the Program. Entrant further acknowledges that Align and its affiliates have neither made, nor are in any manner responsible or liable for, any warranty, representation or guarantee, expressed or implied, in fact or in law, relative to any award or the Program.

Governing Law

The Program and the rights and obligations of Align and Entrants will be governed by and controlled by the laws of the State of California, applicable to contracts made and performed within California without reference to the applicable choice of law provisions. All actions, proceedings or litigation relating to the Program will be instituted and prosecuted solely within the State of California, Santa Clara County. The parties consent to the jurisdiction of the state courts of California and federal court located with such state and county with respect to any action, dispute or other matter pertaining to or arising out of the Program.

Entrant's Personal Information

Align collects personal information from you when you enter the Program. Align reserves the right to use any information collected in accordance with its privacy policy, which may be found at <http://www.invisalign.com/pages/Privacy-Policy.aspx>

Contact:

Any questions can be sent via email to InvisalignGallery@aligntech.com