



2020 Invisalign® Gallery | University Challenge

OFFICIAL PROGRAM RULES

The 2020 Invisalign Gallery | University Challenge (the “Program”) is a competition sponsored by Align Technology, Inc. (“Sponsor”) for integrated orthodontic residency programs in North America.

The aim of this competition is to give participating orthodontic residents (an “Entrant”) the opportunity to showcase and present successful treatment outcomes they achieved with Invisalign aligners during their residency training.

By entering the Program, you unconditionally agree to these Official Program Rules and agree that the Sponsor serves as the final decision making authority for issues relating to the Program, with its decision being final and binding on all Entrants. The Sponsor reserves the right to add, delete, and/or alter clauses within these Official Program Rules at its discretion and without notice.

The Program culminates at the Invisalign Ortho Summit in Las Vegas, NV from November 19-22, 2020 (the “Invisalign Summit”).

Who Can Enter

You are eligible to enter if you are currently enrolled as an orthodontic resident at an accredited North American integrated orthodontic residency program where Invisalign treatment is offered through the university clinic. You are also eligible to participate if you graduate within 6 months of the Program’s submission deadline of June 12, 2020.

Orthodontic residents who currently hold, or in the past have held, full-time or contract positions or internships with the Sponsor or its affiliates are not eligible to participate.

How to Enter

All entries must be submitted online by **June 12, 2020** via <https://submit.invisaligngallery.com>. There are no entry fees and no purchase is necessary to enter or win. The Program is void where prohibited or restricted by law.

All entries must consist of treatments using Invisalign aligners from the Entrant’s orthodontic residency clinic, where the Entrant was the treating clinician. Treatments can be started by a previous resident, but must be completed by Entrant.

Each entry must include initial and final records (consisting of intraoral and extraoral photos; radiographs are encouraged but not required), in addition to a treatment summary report, a signed doctor and patient consent form provided by Sponsor (including parent/guardian consent if the patient is a minor at the time of submission), and must be submitted into one of four clinical categories: **Class I, Class II, Class III, or Multi-Discipline**. The category chosen should reflect the cuspid relationship, but the determination is at the sole determination of Entrant. Multi-Discipline treatments include (but are not limited to) orthognathic surgery, veneers, implants, and complex restorative treatments. Progress records are highly recommended for entries into the Multi-Discipline category.



Submission of photos of study models (no physical study models will be collected as part of the Program), cephalometric tracings/analysis, Peer Assessment Rating (PAR) scores, and/or ABO scores are at the sole discretion of the Entrant, but will be considered by the judges as part of the “Records quality” score during the voting process, which counts for 33% of the total score.

All materials submitted by Entrant are collectively referred to as a “Submission.” Entrants are solely responsible for ensuring that their Submission is complete, accurate, and representative of the work that was actually performed.

Each orthodontic program can enter no more than one treatment per category (i.e., no more than four entries total per program). The choice of treatment to submit is at the sole discretion of each Entrant and their program.

For patient privacy reasons, each Submission will be made anonymous by Align Technology prior to judging. A signed patient consent form (including parent/guardian consent if the patient is a minor at the time of entry) is also required for each entry.

Entries that have been tampered with or altered in a way which misrepresents the actual condition at any time during or after treatment are void, and may disqualify Entrant from participation in the Program.

Program Deadlines

Submissions must be received by Sponsor no later than **June 12, 2020**. Award winners will be notified via email as listed on the submission form once voting has concluded. Presentations of the award-winning entries from each of the four clinical categories will take place at the 2020 Invisalign Ortho Summit.

Judging Criteria

Judging will be done online by Invisalign-trained, private-practice orthodontists selected by the Sponsor. All voting will be anonymous and double-blinded. Scoring will be based on treatment difficulty (33%), outcomes achieved (33%), and records quality (33%) on a scale of 1 (worst) to 10 (best) for each component.

Awards

The top three highest average scores in each category (Class I, Class II, Class III, and Multi-Discipline) will be awarded first, second, and third place, respectively. In the event of a tied score, the treatment receiving the higher number of votes will prevail. The following award amounts will be donated by the Sponsor to the **orthodontic programs** of the Entrants for the top treatments in each clinical category (Class I, Class II, Class III, and Multi-Discipline):

- 1st place: \$5,000 USD
- 2nd place: \$2,500 USD
- 3rd place: \$1,000 USD

All Entrants will receive certificates of participation and be included in the Invisalign Gallery book to be published by Sponsor following conclusion of the Program.



2020 Invisalign Ortho Summit

The 1st place resident in each clinical category will be invited to attend and present their case at the 2020 Invisalign Ortho Summit. The conference registration fees for the 1st place student winners and their supervising faculty instructors will be waived. Conference registration includes hotel accommodation for the duration of the 2020 Invisalign Ortho Summit and participation in all scheduled events. In addition, coach air fare to Las Vegas, NV will be reimbursed up to \$500 for each first place student winner and his or her supervising faculty instructor. They will also each receive a transportation and meal allowance, not to exceed \$250. Winners who elect to attend the 2020 Invisalign Ortho Summit must execute a Summit Speaker Agreement prior to attending. Attendance and presentation at the 2020 Invisalign Ortho Summit is optional, but highly recommended.

Release/Consent

The Sponsor does not claim any ownership rights to the Submissions. By submitting an entry, Entrants grant Sponsor a non-exclusive, royalty-free limited license to (1) display the treatments for the purpose of determining the award winners and (2) include the submissions in the Invisalign Gallery | University Challenge electronic document without further compensation, permission, or notification, unless prohibited by law.

Participation in the Program constitutes Entrant's consent to use of Entrant's name, likeness, photograph, statements, school affiliation, opinions, hometown, and/or state by Sponsor and its affiliates for promotional purposes in any media without further compensation, permission, or notification, unless prohibited by law. The Entrant represents that he/she has obtained patient consent to submit information relating to patient to the Program, including patient's protected health information. The Entrant further represents that each Submission and presentation (including verbal and written material) relating to the Program have been made with the full understanding and support of the Entrant's faculty sponsor and institution and in compliance with applicable state regulations. The Sponsor agrees not to imply endorsement of Invisalign treatment by the universities affiliated with each Entrant. The Sponsor also agrees not to sell any Submissions to third parties.

Limitations of Liability/Disclaimer

The Sponsor reserves the right to cancel, suspend, and/or modify the Program, or any part of it, at any time and without notice for any reason, if any fraud, technical failures, or any other factor beyond Sponsor's reasonable control impairs the integrity or proper functioning of the Program, as determined by the Sponsor in its sole discretion. Under such circumstances, the Sponsor may select the award recipients from among all eligible entries received. The Sponsor reserves the right in its sole discretion to disqualify any individual it finds to have tampered with the entry process or the operation of the Program or to have acted in violation of these Official Program Rules or in an unprofessional or disruptive manner.

The Sponsor is not responsible for: (1) any incorrect or inaccurate information, whether caused by Entrant, printing errors, or by any of the equipment or programming associated with or utilized in the Program; (2) technical failures of any kind, including, but not limited to malfunctions, interruptions, or disconnections in phone lines, network connections, or network hardware or software; (3) unauthorized human intervention in any part of the entry process or the Program; (4) technical or human error which may occur in the administration of the Program or the processing of entries; or (5) late, lost, incomplete,



delayed, or undeliverable entries or Submissions.

By participating in the Program, Entrants and their faculty instructors agree to release and hold harmless the Sponsor and its affiliates from and against any and all rights, claims and causes of action whatsoever that they may have, or which may arise, against any of them for any liability for any matter, cause or thing whatsoever, including but not limited to any injury, loss, damage, including death, whether direct, compensatory, incidental or consequential, to person and property, arising in whole or in part, directly or indirectly, from their participation in the Program, including attendance at the Invisalign Ortho Summit, or their acceptance, possession, use or misuse of any Program award. The Entrant further acknowledges that the Sponsor and its affiliates have neither made, nor are in any manner responsible or liable for, any warranty, representation or guarantee, expressed or implied, in fact or in law, relative to any award or the Program.

Governing Law

The Program and the rights and obligations of the Sponsor, Entrants, faculty instructors, and associated universities will be governed by and controlled by the laws of the State of California, applicable to contracts made and performed within California without reference to the applicable choice of law provisions. All actions, proceedings, or litigation relating to the Program will be instituted and prosecuted solely within the State of California, Santa Clara County. The parties consent to the jurisdiction of the state courts of California and federal court located with such state and county with respect to any action, dispute or other matter pertaining to or arising out of the Program.

Entrant's Personal Information

The Sponsor collects personal information from you when you enter the Program. The Sponsor reserves the right to use any information collected in accordance with its privacy policy, which may be found at http://www.aligntech.com/privacy_policy.

Rules/Winners List

The Entrants may request a copy of these Official Program Rules and the name of the winners by submitting a request via email to InvisalignGallery@aligntech.com after the conclusion of the 2020 Invisalign Ortho Summit.

Contact Information

Any questions regarding this program can be emailed to: InvisalignGallery@aligntech.com.